



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Susan Barnett et al.

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TECH CENTER 1600/2900

SERIAL NO.: 09/475,704

GROUP ART UNIT: 1643

FILED: December 30, 1999

EXAMINER: to be assigned

TITLE: COMPOSITIONS AND METHODS FOR POLYNUCLEOTIDE  
DELIVERY

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56 AND §1.97(b)(3)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed on the enclosed Supplemental Information Disclosure Form PTO-1449 may be material to the examination of the above-identified patent application. Therefore, Applicants submit these documents in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56 and §1.97(b)(3). The Examiner is requested to make these citations of official record in the present application.

Applicants enclose herewith a copy of the PCT International Search Report for International Application No. PCT/US99/31273, mailed September 9, 2000.

The present Supplemental Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, and therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

This Supplemental Information Disclosure Statement under 37 C.F.R. §1.56 and §1.97(b)(3) is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these citations constitute prior art under 35 U.S.C. §102 or §103.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 24 day of May, 2001.

Pv Susan Barnett

The Commissioner is hereby authorized to charge any fees in connection with this Information Disclosure Statement to Deposit Account No. 03-1664. This, however, is not an authorization for payment of Issue Fee.

Respectfully submitted,

By:

  
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Attorney for Applicants  
Reg. No. 43,935

September 24, 2000

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FORM PTO-1449 (Modified)	U.S. Dept. of Commerce Patent and Trademark Office	Atty. Docket No.: 1631.002	Serial No.: 09/475,704
INFORMATION DISCLOSURE CITATION		Applicants: Barnett <u>et al.</u>	
(Use several sheets if necessary)		Filing Date: December 30, 1999	Group: 1643

*OCT 03 2000*

U.S. PATENT DOCUMENTS

*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
AA						
AB						
AC						
AD						
AE						
AF						

FOREIGN PATENT DOCUMENTS

	Document Number	Publ. Date	Country	Class	Subclass	Trans-Yes	lation No
BA	WO 96/09378	03/28/96	PCT				
BB	WO 97/31115	08/28/97	PCT				
BC							
BD							
BE							

## OTHER CITATIONS (Including Author, Title, Date, Pertinent Pages, Etc.)

CA	Novitsky <u>et al.</u> , "Molecular Cloning and Phylogenetic Analysis of Human Immunodeficiency Virus Type 1 Subtype C: a Set of 23 Full-Length Clones from Botswana" <u>J. Virology</u> 73(5):4427-4432, May, 1999
CB	
CC	
CE	
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CH	
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CK	
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Examiner	Date Considered
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.